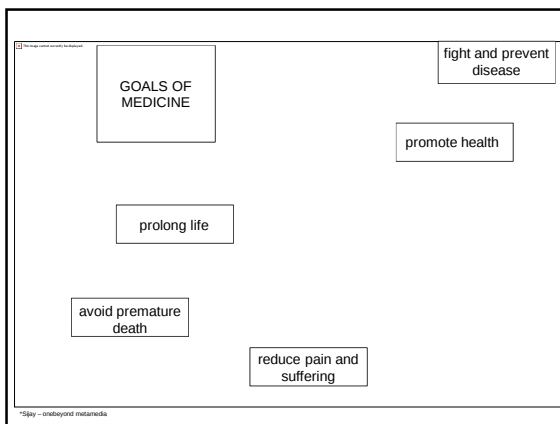


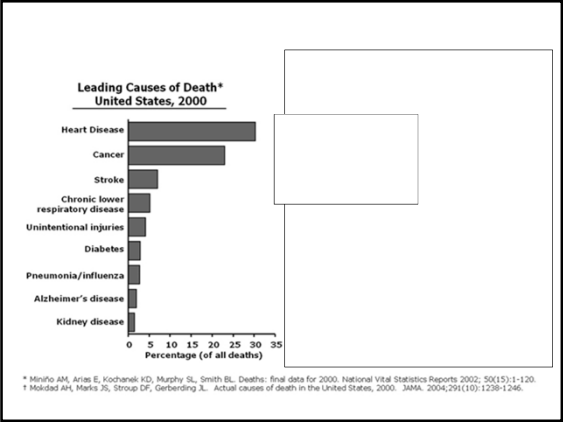
SCREENING AND BRIEF INTERVENTION FOR RISKY ALCOHOL USE IN PRIMARY CARE

Jennifer Hettema, Ph.D.
University of New Mexico
Department of Family and Community Medicine

Agenda

- Rationale for screening and brief intervention
- Evidence for screening and brief intervention
- Screening and brief intervention protocol
- Practice
- Discussion





“Modifiable behavioral risk factors are leading causes of mortality in the United States.”

Actual Causes of Death in the United States, 2000

Abstract

OBJECTIVE: To identify and quantify the leading causes of mortality in the United States.

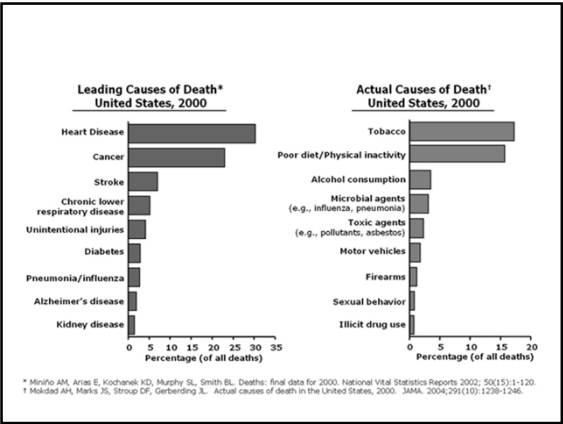
DESIGN: Cross-sectional study.

SETTING: National Vital Statistics Reports 2002; 50(15):1-120.

PATIENTS: All deaths in the United States in 2000.

MEASUREMENTS AND MAIN RESULTS: The leading causes of death in 2000 were heart disease (28%), cancer (22%), stroke (10%), chronic lower respiratory disease (8%), unintentional injuries (7%), diabetes (5%), pneumonia/influenza (4%), Alzheimer's disease (3%), and kidney disease (2%).

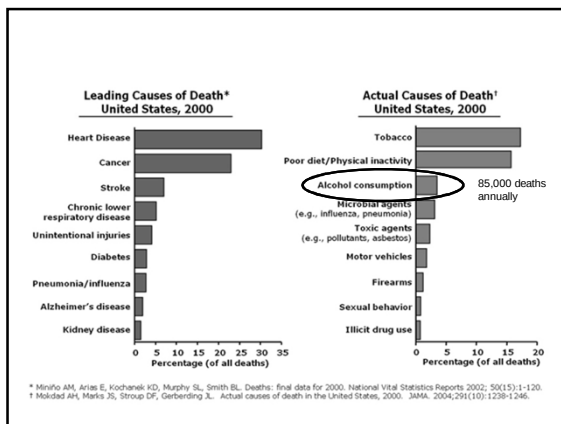
CONCLUSIONS: The leading causes of death in 2000 were heart disease, cancer, stroke, chronic lower respiratory disease, unintentional injuries, diabetes, pneumonia/influenza, Alzheimer's disease, and kidney disease.



BEHAVIORAL CHANGE

- Most, if not all, medical issues reduce to the need for behavioral change
- Whether or not *and* the way in which providers interact with patients around issues of behavioral change can dramatically influence the probability that change will occur



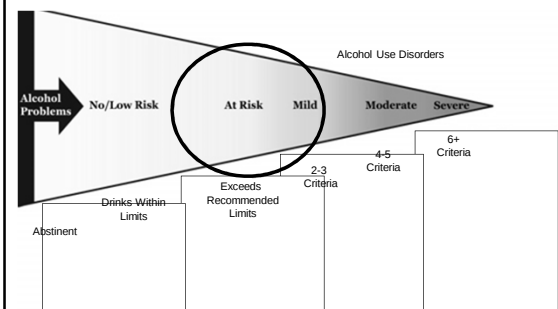


- Think of a patient that you have worked with for whom alcohol was a problem.

Continuum of Alcohol Problems



Continuum of Alcohol Problems



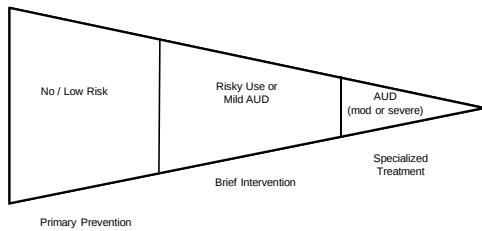
Targeting Risky Use

- On a population level, most alcohol-related harm is not due to drinkers with severe alcohol dependence but attributable to a much larger group of hazardous or harmful drinkers whose consumption exceeds recommended drinking levels and who experience a wide range of physical, psychological or social problems (Kaner, 2009)

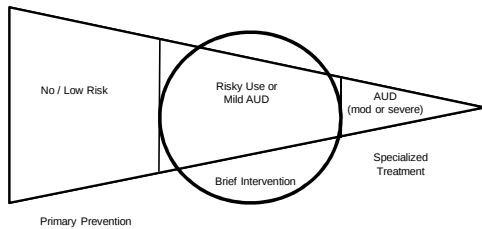
The Prevention Paradox

- >50% of health consequences of alcohol occur in risky and problem drinkers (not dependent drinkers).
- A large number of people at small risk contribute more cases than a smaller number of people who are individually at greater risk.

Continuum of Intervention



Continuum of Intervention



SBIRT

Screening

- Quickly assess the severity of alcohol use and identify the appropriate level of treatment.

Brief Intervention

- Increase insight and awareness of alcohol use; motivation toward behavioral change.

Referral to Treatment

- Provide those identified as needing more extensive treatment with access to specialty care.

Oregon SBIRT, 2011

SBIRT Benefits: Primary Care Settings

- Meta-analyses & reviews
 - More than 34 randomized controlled trials
 - Focused primarily on risky drinkers in medical settings
 - Result: **10-30%** reduction in alcohol consumption at 12 months

Oregon, 2012; Moyer et al, 2002; Whitlock et al, 2004; Bertholet et al, 2005

SBIRT Benefits: Primary Care Settings

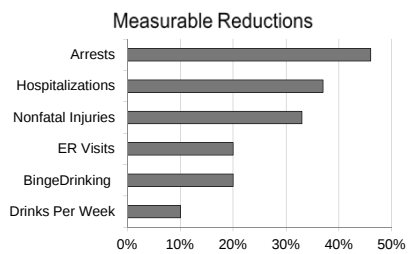
If you see on average, 40 patients per week.

Four to eight of these patients are at risk (10-20%).

With brief intervention, 1-3 patients weekly are likely to lower their risk.

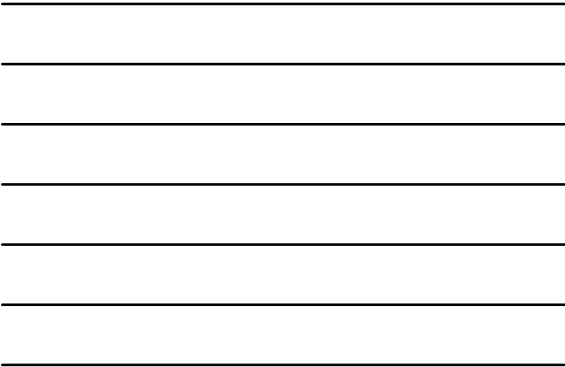
Oregon, 2012; Moyer et al, 2002; Whitlock et al, 2004; Bertholet et al, 2005

SBIRT Benefits: Primary Care Settings*



19 2/9/2016
*SAMHSA, 2012





Score	CPB range: QALYs saved, undiscounted	CE range: \$/QALY saved, discounted
5	≥350,000	Cost saving
4	≥135,000 <350,000	>0 <14,000
3	≥40,000 <135,000	≥14,000 <55,000
2	≥15,000 <40,000	≥55,000 <165,000
1	<15,000	≥165,000 <450,000

CE, cost effectiveness; CPB, clinically preventable burden; QALY, quality-adjusted life year.

*Maciejosek, 2006

SBIRT Benefits: Primary Care Settings

- Clinically preventable burden: total quality adjusted years of life gained if a clinical preventive service is delivered at recommended intervals

-4★

- Cost effectiveness: average net cost per quality adjusted year of life gained by offering the clinical preventive service

-5

- Combined score

-9

Table 1. Scoring ranges		
Score	CPB range: QALYs saved, undiscounted	CE range: \$/QALY saved, discounted
5	≥500,000	Cost saving
4	≥180,000 <500,000	≥0 <14,000
3	≥40,000 <180,000	≥14,000 <35,000
2	≥15,000 <40,000	≥35,000 <165,000
1	<15,000	≥165,000 <490,000

CE, cost effectiveness; CPB, clinically preventable burden; QALY, quality-adjusted life year.
*Maciossek, 2006.

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*Maciossek, 2006.

-9 ★

Score	GPB range: QALYs saved, undiscounted	CE range: \$/QALY saved, discounted
5	≥\$90,000	Cost saving
4	≥\$135,000 <\$90,000	>0 <\$14,000
3	≥\$40,000 <\$135,000	≥\$14,000 <\$55,000
2	≥\$15,000 <\$40,000	≥\$55,000 <\$165,000
1	<\$15,000	≥\$165,000 <\$490,000

“Similar to influenza or pneumococcal immunization.”

Primary Care Intervention to Reduce Alcohol Misuse: Ranking by Health Impact and Cost Effectiveness
 Laura Helwig, PhD, Robert A. Hays, MD, Nicky M. Edwards, PhD

Background: The U.S. Preventive Services Task Force (USPSTF) has recommended screening and brief intervention for hazardous alcohol use in primary care to reduce alcohol-related morbidity and mortality. However, the health impact and cost effectiveness of this recommendation have not been fully evaluated. We evaluated the health impact and cost effectiveness of this recommendation in a primary care setting. We conducted a systematic review of the literature to identify studies that evaluated the health impact and cost effectiveness of this recommendation. We found that the health impact and cost effectiveness of this recommendation were similar to those of influenza or pneumococcal immunization.

Methods: A systematic review of the literature from 1980 through 2008 to identify studies that evaluated the health impact and cost effectiveness of this recommendation. We found that the health impact and cost effectiveness of this recommendation were similar to those of influenza or pneumococcal immunization.

Results: The health impact and cost effectiveness of this recommendation were similar to those of influenza or pneumococcal immunization.

Conclusions: The health impact and cost effectiveness of this recommendation were similar to those of influenza or pneumococcal immunization.

Key Words: Alcohol misuse, screening, brief intervention, health impact, cost effectiveness.

U.S. Preventive Services Task Force

This document summarizes the U.S. Preventive Services Task Force (USPSTF) recommendations on screening and behavioral counseling interventions in primary care to reduce alcohol misuse.

Current Recommendations

- The USPSTF recommends that clinicians screen adults aged 18 years or older for alcohol misuse and provide persons engaged in risky or hazardous drinking with brief behavioral counseling interventions to reduce alcohol misuse.
- The USPSTF recommends that the current evidence is insufficient to assess the balance of benefits and harms of screening and behavioral counseling interventions in primary care settings to reduce alcohol misuse in women 18 years or older.

Supporting Documents

Document	Document
Screening and Behavioral Counseling Interventions in Primary Care to Reduce Alcohol Misuse (PDF, 104 KB, PDF, 104 KB)	Screening and Behavioral Counseling Interventions in Primary Care to Reduce Alcohol Misuse (PDF, 104 KB, PDF, 104 KB)
Screening and Behavioral Counseling Interventions in Primary Care to Reduce Alcohol Misuse (PDF, 104 KB, PDF, 104 KB)	Screening and Behavioral Counseling Interventions in Primary Care to Reduce Alcohol Misuse (PDF, 104 KB, PDF, 104 KB)

USPSTF Program Office • 1400 G Street, NW, Suite 1000 • Washington, DC 20005

SBIRT Protocol

SCREENING, BRIEF INTERVENTION, REFERRAL TO TREATMENT POCKET CARD

• **Ask Permission:** Would it be okay to spend the next few minutes talking about alcohol?

ALCOHOL USE

• **PRESCREEN:** Do you sometimes drink beer, wine, or other alcoholic beverages?

• **SCREEN:** How many times in the past year have you had 5 (4) or more standard drinks in a day?

NO/LOW RISK <0: AFFIRM, ADVISE, OPEN DOOR, RESCREEN ANNUALLY **AT RISK DRINKING >0**

QUANTITY & PATTERN

• On average, how many days a week do you have an alcoholic drink?

• On a typical drinking day, how many drinks do you have? (Specify standard drink)

• What is the maximum number of drinks you have on any occasion?

SAFE DRINKING LIMITS: Healthy Men: < 4/day AND 14/week | Healthy Women & Men <65: < 3/day AND 7/week

ASSESS FOR ALCOHOL USE DISORDER (AUD) *neg to both, AUD unlikely

DSM-5 Criteria for AUD: How has drinking affected your life in the last year?

- o Risk of bodily harm* - o Exceeded own limits* - o Role failure - o Relationship trouble	- o Repeated failed attempts to quit/control use - o Physical or psychological consequences - o Gave up other meaningful activities - o Spent much time using, procuring, recovering	- o Withdrawal - o Tolerance - o Craving
--	---	--

ASSESS FOR OTHER CONSEQUENCES: MEDICATION INTERACTIONS, MEDICAL COMPLICATIONS

RISKY USE OR MILD AUD → B1

MODERATE OR SEVERE AUD → B1 + MEDICAL MANAGEMENT AND/OR RT

SBIRT Protocol

- Mechanics + Interpersonal Style



SBIRT

- **Screening** quickly assesses substance use severity and identifies the appropriate level of treatment
- **Brief Intervention** focuses on increasing patient awareness of own substance use and motivation to change
- **Referral to Treatment** provides those needing more extensive treatment with access to specialty care

SBIRT

- **Screening** quickly assesses substance use severity and identifies the appropriate level of treatment
- **Brief Intervention** focuses on increasing patient awareness of own substance use and motivation to change
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Screening

- Ask Permission

Screening

- Ask Permission
- Agenda Set
- Normalize

How Much is Too Much?*

- For healthy adults age 65 and under:



Low-risk drinking limits		
	MEN	WOMEN
On any single DAY	No more than 4  drinks on any day	No more than 3  drinks on any day
	AND	
Per WEEK	No more than 14  drinks per week	No more than 7  drinks per week

To stay low risk, keep within BOTH the single-day AND weekly limits.

- For people over 65, exceeding 3 drinks a day or 7 drinks a week is not recommended.
- Women who are pregnant or may become pregnant should not drink.

*SAMHSA, 2012

Single Question Alcohol Screen

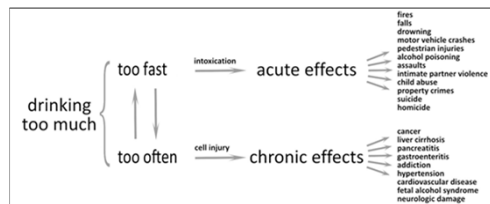
How many times in the past year have you had 5 (4 for women or men > 65) or more drinks in a day?

Positive Screen = 1 or more

- 82% sensitive, 79% specific for any **unhealthy use**
- 84% sensitive, 78% specific for **risky use**
- 88% sensitive, 67% specific for a current **alcohol use disorder**
- Nearly three-fourths of U.S. adults never exceed these limits

Source: Smith (2009) J Gen Intern Med 24(7):783-8

Physiological Consequences of Risky Drinking



*SAMHSA, 2012

Negative Screen

- Affirm
- Advise
- Open Door
- Rescreen Annually

Positive Screen

- Assess further



Assess for Severity

- Quantity, frequency, max (explain standard drink)
- Open-ended vs. Closed-ended

Assess for AUDs

	DSM-IV Abuse ^a		DSM-IV Dependence ^b		DSM-5 Substance Use Disorders ^c	
arduous use	X	≥1 criterion	–	≥3 criteria	X	≥2 criteria
al/interpersonal problems related to use	X		–		X	
ected major roles to use	X		–		X	
at problems	X		–		–	
drawal ^d	–		X		X	
rance	–		X		X	
d larger amounts/longer	–		X		X	
eated attempts to quit/control use	–		X		X	
h time spent using	–		X		X	
ical/psychological problems related to use	–		X		X	
ivities given up to use	–		X		X	
ing	–		–		X	

^d Withdrawal not included for cannabis, inhalant, and hallucinogen disorders in DSM-IV. Cannabis withdrawal added in DSM-5.

Source: Am J Psychiatry. 2013;170(8):834-851.

- Open-ended vs. Closed-ended

In the last 12 months, how often did you drink (or use more) than you intended?

[illegible]

2-Item test characteristics for current AUD in positive screens

Sample	N	Sensitivity	Specificity
Acute injury in ED, 1998-2000	959	95%	77%
Random digit dialing	494	94%	62%
Five family medicine practices in Georgia, 2004-05	280	95%	66%
National Epidemiologic Survey on Alcohol and Related Conditions, 2001-02	7,890	77%	86%
18-20 year olds in ED	181	88%	90%

Sources: Vinson D, Kruse RL, Seale JP. Alcohol Clin Exp Res. 2007;31(8):1392-1398. Kelly TM, et al. Addict Behav. 2009;34(8):668-774.

Decision Rule

- Risky Use / Mild AUD >>> Brief Intervention
- Moderate or Severe AUD >>> Brief Intervention with Goal of Medical Management and/or Referral to Treatment

Feedback: How

- #1: Summarize results of screening and assessment

Feedback: How

- Decide what might be the most helpful piece of feedback
- Severity level (risky, AUD)
- Safe drinking limits
- Health implications
- Relationship to medical issues
- Others?

Feedback: How

- Ask permission: Can I share some information with you about...?

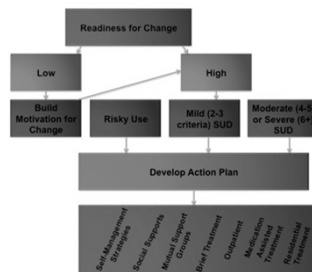
Feedback: How

- Elicit (open-ended question): what patient already knows about topic
- Provide: information, recommendation
- Elicit (open-ended question): reaction, what patient plans on doing with information

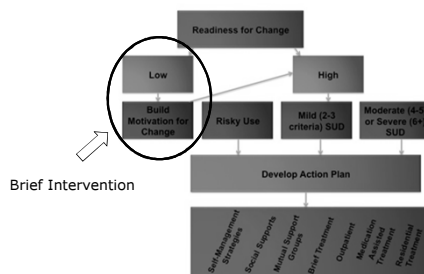
Feedback: How

- Elicit (open-ended question): what patient already knows about topic
- Reflect
- Provide: information, recommendation
- Elicit (open-ended question): reaction, what patient plans on doing with information
- Reflect

Assess Readiness for Change



Assess Readiness for Change



Assess Readiness for Change

```

graph TD
    A[Readiness for Change] --> B[Low]
    A --> C[High]
    B --> D[Build Motivation for Change]
    C --> E[Risky Use]
    C --> F[Mild (2-3 criteria) SUD]
    C --> G[Moderate (4-5 or Severe (6+) SUD)]
    E --> H[Develop Action Plan]
    F --> H
    G --> H
    D --> H
    H --> I[Self-Management Strategies  
Social Supports  
Mutual Support Groups  
Brief Treatment  
Outpatient  
Medication-Assisted Treatment  
Intensive Outpatient Programs]
  
```

Readiness for Change

Low

High

Build Motivation for Change

Risky Use

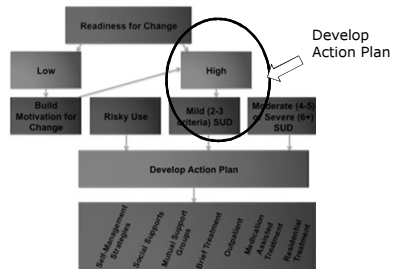
Mild (2-3 criteria) SUD

Moderate (4-5 or Severe (6+) SUD)

Develop Action Plan

Self-Management Strategies
Social Supports
Mutual Support Groups
Brief Treatment
Outpatient
Medication-Assisted Treatment
Intensive Outpatient Programs

Develop Action Plan



Build Motivation for Change

- Brief intervention can be used to build motivation
- Use of effective communication skills can enhance brief interventions (OARS)
- Brief intervention should seek to evoke the patients own motivation (change talk)

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Build Motivation - How

Option A: Pros and Cons

- Drinking:
 - *What are the good things about drinking beer? What are some of the not so good things about it?*
 - How might things be better or different if you cut back? If you continue at this level what are some of the worst things that might happen? If you cut back what are some of the best things that might happen?*

- **Drinking:**
 - *What are the good things about drinking beer? What are some of the not so good things about it?*
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Building Motivation – How

Option B: Ruler

- You can use a ruler to determine the patient's perceived importance, confidence, or readiness to change behavior.
- The actual number that the patient gives you isn't the important part. The goal is to evoke change talk, using follow-up questions.



Building Motivation – How

Option C: Values Clarification

- Identify and explore values or goals that the patients finds important.
- Use OARS to draw out and explore discrepancies (if any) between these values and the patient's alcohol or drug use.

Practice

- Form pairs
- Practice using the role play scenarios

Discussion / Questions

Contact

• Jennifer Hettema – jhettema@salud.unm.edu
